

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **20 pages**) of:

Inventor(s): **Rajan M. Lukose**

For : **A SYSTEM AND METHOD FOR SELLING CONTINGENT INFORMATION**

****If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:**

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ **5 sheets** of formal drawings.
- ☒ **Signed Combined Declaration and Power of Attorney (2 pages).**
- ☐ **Copy of signed Combined Declaration and Power of Attorney (____ pages)** from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed statement** deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☒ Assignment (**1 page**) of the invention to **Xerox Corporation**.
- ☒ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Applicant claims small entity status. (See 37 CFR 1.27.)
- ☐ Preliminary Amendment (____ pages).

- ☒ Information Disclosure Statement, form PTO-1449 (1 page) and 4 references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (____ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

| (Col. 1) | | (Col. 2) | SMALL ENTITY | | | LARGE ENTITY | |
|--|-----------|-----------|--------------|-------|----|--------------|-------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | OR | RATE | FEE |
| BASIC FEE | XXXXXXXX | XXXXXXXX | XXXX | \$355 | OR | XXXX | \$710 |
| TOTAL CLAIMS | 30 - 20 = | 10 | x 9 = | \$ | OR | x 18 = | \$180 |
| INDEP CLAIMS | 3 - 3 = | 0 | x 40 = | \$ | OR | x 80 = | \$ 0 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | x135 = | \$ | OR | x270 = | \$ 0 |
| *If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2 | | | TOTAL | \$ | OR | TOTAL | \$890 |

- ☒ Please charge my Deposit Account No. 24-0037 in the amount of \$890.00. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 24-0037. A duplicate copy of this sheet is enclosed.
- ☒ Address all future communications to:

Gunnar G. Leinberg, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603

Date: December 8, 2000

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EXPRESS MAIL CERTIFICATE

DOCKET NO. : **1508/3150 (A0856)**

APPLICANT(S) : **Rajan M. Lukose**

TITLE : **A SYSTEM AND METHOD FOR SELLING CONTINGENT
INFORMATION**

Certificate is attached to the **Formal Drawings (5 pages)** of the above-named application.

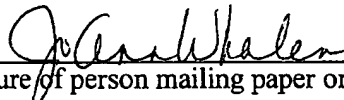
"EXPRESS MAIL" NUMBER: **EL640135753US**

DATE OF DEPOSIT: **December 8, 2000**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application.**

Jo Ann Whalen

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--------------|--|-----------------------------|
| Applicant(s) | : Rajan M. Lukose | Examiner: To Be Assigned |
| Serial No. | : To Be Assigned | Art Unit: To Be Assigned |
| Filed | : Herewith | Batch No: To Be Assigned |
| For | : A SYSTEM AND METHOD FOR SELLING CONTINGENT INFORMATION | |

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Applicants herewith submit five (5) sheets of formal drawings for the above-identified application

Respectfully submitted,

Date: December 8, 2000

Gunnar G. Leinberg
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